## AUCTIONEER BOARD MADISON, WISCONSIN MARCH 27, 2000

**PRESENT:** Ronald Bast, Robert Brandau, Richard Lust, Robert Massart, Mark

Shain, Marie Skic-Loy

**EXCUSED**: Marie Kohlbeck

**STAFF PRESENT**: Cletus Hansen, Bill Dusso, Legal Counsel, and Jan Neitzel; Members

of the Division of Enforcement were available for portions of the

meeting.

#### **CALL TO ORDER**

The meeting was called to order at 9:17 a.m. by Richard Lust, Chair, upon confirmation that the public notice was timely given. A quorum of 6 members was present.

#### **AGENDA**

Additional items were added to the agenda (information on Don Rittel's resignation and a letter from the state of Tennessee, relating to reciprocity).

MOTION: Ron Bast moved, seconded by Bob Brandau, to adopt the agenda as

amended. Motion carried unanimously.

## **MINUTES (1/24/00)**

Bob Brandau stated that he did vote "yes" in the cost assessment vote for Paul George, Dean George and George Auctioneer Service.

**MOTION**: Ron Bast moved, seconded by Marie Skic-Loy, to approve the minutes as

amended. Motion carried unanimously.

#### ADMINISTRATIVE REPORT

#### **Secretary Marlene Cummings**

Secretary Cummings was not available.

## Cletus J. Hansen

Introduction

A new auditor, Mark McKenzie, was introduced to the Board.

Roster

Ron Bast noted that his area code had changed to 262.

• Meeting Dates

The Board received a copy of the year 2000 meeting dates.

• Credential Holder Query

Clete Hansen reported that there is a website for the public to check on license holders. Richard Lust stated he has used this website and has found it to be beneficial.

#### LEGISLATIVE UPDATE

## Advertising and Licensing (AB 437 & SB 224)

AB 437 and SB 224 have passed the Assembly but have not passed the Senate. If the Bills have not been passed by the last day of this session, March 31, 2000, they will need to be re-submitted in the next session.

# ACTIVITIES THAT MAY BE PERFORMED BY AUCTIONEER DURING SUSPENSION OR REVOCATION (DEAN GEORGE)

#### **ADMINISTRATIVE RULES**

### Interpretation of s. 480.09(7)

The Board discussed the number of days a temporary permit can be held. The Board recommended that the rules provide for a 60-day temporary permit.

## **Auction-Related Services While Suspended or Revoked**

William Dusso, Charles Howden, and Clete Hansen discussed the proposed changes in RL 126 (2)(d)(5) to further clarify the services an auctioneer may provide while their registration is suspended or revoked.

Richard Lust suggested adding a comma after bid-calling and add bid-calling after the comma, so the rule would read "in any form of auctioneer related bid-calling, bid-calling contest, or bid-calling competitions."

**MOTION:** Marie Skic-Loy moved, seconded by Bob Brandau, to accept the draft as

amended and to request Secretary Cummings to submit the revised draft to

the Clearinghouse. Motion carried unanimously.

## **Buyers Fee or Surcharge**

Pam Haack, Legal Services, will submit a rule draft to the Board.

#### RECIPROCITY AGREEMENTS

Tennessee has signed a reciprocal agreement with Wisconsin.

**MOTION:** Ron Bast moved, seconded by Mark Shain, to recommend that the

Auctioneer Board sign a reciprocal agreement with Tennessee. Motion

carried unanimously.

Pennsylvania has declined a reciprocal agreement with Wisconsin.

Kentucky has a reciprocal agreement with Wisconsin and has requested information on fees.

Illinois and Wisconsin have a reciprocal agreement.

#### MISCELLANEOUS INFORMATION/CORRESPONDENCE

Bill Dusso stated that Don Rittel had resigned.

The Board requested that an appreciation letter be sent to Don Rittel through Mr. Dusso.

**MOTION:** Ron Bast moved, seconded by Marie Skic-Loy, to send an appreciation

letter to Don Rittel. Motion carried unanimously.

#### **NEW BUSINESS**

None.

#### **PUBLIC COMMENTS**

None

#### RECESS TO CLOSED SESSION

**MOTION**: Marie Skic-Loy moved, seconded by Bob Brandau, to convene the meeting

in Closed Session pursuant to Sections 19.85(1)(a), (b), and (f), Wis. Stats.,

to consider the licensing or discipline of a person licensed by the Department or the investigation of charges against such a person.

Specifically, to discuss the issue of Case Status Reports, case closings, deliberations on stipulations that may be signed after printing of the agenda,

and disciplinary proceedings. Motion carried unanimously by a roll call vote: Marie Skic-Loy-yes; Ronald Bast-yes; Bob Massart-yes; Bob

Brandau-yes; Richard Lust-yes; Mark Shain-yes.

Open Session recessed at 10:15 a.m.

The Board received a copy of the Case Status Report.

The Board deliberated on case closings, administrative warnings, and stipulations.

#### ADJOURN CLOSED SESSION

**MOTION**: Bob Brandau moved, seconded by Mark Shain, to adjourn the Closed

Session to reconvene in Open Session as publicly announced. Motion

carried unanimously.

Closed Session adjourned at 11:27 a.m.

## VOTING ON ITEMS CONSIDERED OR DELIBERATED UPON IN CLOSED SESSION ADMINISTRATIVE WARNING

## Francis J. Pflum and Premium Auction Company-99 AUC 017

**MOTION:** Ron Bast moved, seconded by Marie Skic-Loy, to accept the

administrative warning for Francis J. Pflum and Premium Auction

Company. Motion carried unanimously.

## Carl H. Seitz

**MOTION:** Ron Bast moved, seconded by Bob Brandau, to change the administrative

warning to a case closing (P3) for Carl H. Seitz. Motion carried

unanimously.

#### CASE CLOSINGS

**MOTION**: Bob Massart moved, seconded by Marie Skic-Loy, to close the following

cases presented by the Division of Enforcement. Motion carried

unanimously.

98 AUC 013 Hansen & Young Auctioneer Letter of education
98 AUC 013 Roger Hansen Letter of education
98 AUC 013 Erlyn Young Letter of education

**MOTION**: Ron Bast moved, seconded by Mark Shain, to close the following case

presented by the Division of Enforcement. Motion carried unanimously.

99 AUC 040 Unknown Insufficient evidence

**MOTION**: Marie Skic-Loy moved, seconded by Mark Shain, to close the following

case presented by the Division of Enforcement. Motion carried

unanimously.

00 AUC 006 Dean K. George No violation

**MOTION**: Bob Massart moved, seconded by Marie Skic-Loy, to close the following

case presented by the Division of Enforcement. Motion carried

unanimously.

98 AUC 009 Carl H. Seitz P1

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#### **STIPULATIONS**

## Harry Davis & Company (Pittsburgh, PA.) and Martin I. Davis (Pittsburgh, PA.)

**MOTION:** Mark Shain moved, seconded by Ron Bast, to accept the Stipulations,

Findings of Fact, Conclusions of Law and Order in the disciplinary matter involving Harry Davis & Company and Martin I. Davis. Motion carried

unanimously.

## Mark A. Burris (Shullsburg, WI.)

**MOTION:** Marie Skic-Loy moved, seconded by Bob Massart, to accept the

Stipulations, Findings of Fact, Conclusions of Law and Order in the

disciplinary matter involving Mark A. Burris. Motion carried

unanimously.

## Randy J. Backes (Waterloo, IA.) and Rodney A. Backes (Jessup, IA.)

**MOTION:** Marie Skic-Loy moved, seconded by Bob Brandau, to accept the

Stipulations, Findings of Fact, Conclusions of Law and Order in the disciplinary matter involving Randy J. Backes and Rodney A. Backes.

Motion carried unanimously.

## Thomas C. Blackmon (Little Rock, AK.)

**MOTION:** Bob Brandau moved, seconded by Marie Skic-Loy, to accept the

Stipulations, Findings of Fact, Conclusions of Law and Order in the disciplinary matter involving Thomas C. Blackmon. Motion carried

unanimously.

## Dennis L. Pinno (Bear Creek, WI.)

**MOTION:** Marie Skic-Loy moved, seconded by Bob Brandau, to accept the

Stipulations, Findings of Fact, Conclusions of Law and Order in the disciplinary matter involving Dennis L. Pinno. Motion carried

unanimously.

#### **Charles Fandrich (Portage, WI.)**

**MOTION**: Bob Massart moved, seconded by Marie Skic-Loy, to accept the

Stipulations, Findings of Fact, Conclusions of Law and Order in the disciplinary matter involving Charles Fandrich. Motion carried

unanimously.

#### PETITIONS FOR REVIEW IN CIRCUIT COURT OF ROCK COUNTY

## Paul S. George (Brooklyn, WI.)

Bill Dusso explained that Mr. Dean George has two requests from the Board.

1. Mr. George requested that suspension of his license be effective when announced in the paper, October 27, 1999, instead of the signed order's effective date, November 28, 1999.

**MOTION:** Bob Massart moved, seconded by Marie Skic-Loy, to reaffirm the license suspension date of November 28, 1999. Motion carried unanimously.

2. Mr. George requested the Board permit him to advertise now to work as an auctioneer when his license is reinstated.

**MOTION:** Bob Massart moved, seconded by Mark Shain, to grant Dean George approval to advertise and arrange for auctions now, to be held after May 28, 2000, providing he complies with the terms and conditions of his suspension. Motion carried unanimously.

Mr. Dean informed Mr. Dusso that he did not work the Amwood Homes event as an auctioneer.

The Court upheld the decision of the Board relating to Mr. George. The Court will decide the matter pertaining to costs at a later date.

**MOTION:** Bob Massart moved, seconded by Mark Shain, that Mr. George must comply with all the terms of his suspension. Motion carried unanimously.

#### ADJOURNMENT

**MOTION**: Ron Bast moved, seconded by Bob Brandau, to adjourn the meeting at 11:47 a.m. Motion carried unanimously.